

## **Variable Speed Distributed Drive Train Wind Turbine System**

### **CROSS-REFERENCE TO RELATED APPLICATIONS**

This application claims priority under 35 U.S.C. § 119(e) to U.S. Provisional Patent Application Number 60/468,899 Variable Speed Wind Turbine Technology, which was filed on May 7, 2003 and which is incorporated herein by reference.

This application is related to US Patent 6,304,002; US Patent Application number 10/213,764 of Amir S. Mikhail and Edwin C. Hahlbeck entitled "Improved Distributed Power Train That Increases Electric Power Generator Density" filed August 7, 2002, US Patent Application Number 09/920,247 of Peter Stricker, entitled "Distributed Generation Drivetrain (DGD) Controller For Application To Wind Turbine and Ocean Current Turbine Generators" filed July 31, 2001; US Patent Application Number 10/426,287 Kevin L. Cousineau : Distributed Static VAR Compensation (DSVC) System For Wind And Water Turbine Applications" filed April 30, 2003, and US Patent Application number 10/449,342 of Amir S. Mikhail and Edwin C. Hahlbeck entitled "Improved Distributed Power Train (DGD) with Multiple Power Paths " filed May 31, 2003, all of which are assigned to Clipper Windpower Technology, Inc. and are incorporated herein by reference.

### **BACKGROUND OF THE INVENTION**

#### **Field Of The Invention**

The invention relates to fluid-flow turbines, such as wind turbines under water current turbines, and to other prime movers, and more particularly to variable speed turbines employing multi-phase generators with power conversion technology for torque control and rotor blade pitch for turbine speed and load control.

### **DESCRIPTION OF THE PRIOR ART**

The development of practical, wind-powered generating systems creates problems, which are unique and not encountered in the development of conventional power generating systems. These problems are similar in nature to under water current turbines, mining equipment and wind tunnel boring equipment. The natural variability of the wind affects the nature and quality of the electricity produced and the relationship

1 between the velocity of the tip of a turbine blade and the wind velocity affects the  
2 maximum energy that may be captured from the wind. These issues together with  
3 mechanical fatigue due to wind variability have a significant impact on the cost of wind  
4 generated electricity.

5 Historically, wind turbines have been operated at constant speed. The power  
6 delivered by such a wind turbine is determined by the torque produced by blades and  
7 main shaft. The turbine is typically controlled by a power command signal, which is fed  
8 to a turbine blade pitch angle servo, referred herein as a Pitch Control Unit or PCU. This  
9 servo controls the pitch of the rotor blades and therefore the power output of the wind  
10 turbine. Because of stability considerations, this control loop must be operated with a  
11 limited bandwidth and, thus, is not capable of responding adequately to wind gusts. In  
12 this condition, main-shaft torque goes up and transient power surges occur. These power  
13 surges not only affect the quality of the electrical power produced, but they create  
14 significant mechanical loads on the wind turbine itself. These mechanical loads further  
15 force the capital cost of turbines up because the turbine structures must be designed to  
16 withstand these loads over long periods of time, in some cases 20 – 30 years.

17 To alleviate the problems of power surges and mechanical loads with constant  
18 speed wind turbines, the Wind Power industry has been moving towards the use of  
19 variable speed wind turbines. A variable speed wind turbine employs a converter between  
20 the generator and the grid. Because the turbine generator is now decoupled from the grid,  
21 the frequency and voltage at which the generator operates is independent of the constant  
22 voltage, constant frequency of the grid. This permits variable speed operation. Two  
23 classes of power converter have been employed in this application. The first is referred to  
24 as a full conversion system, which is inserted between the generator and grid as  
25 described. In this approach, the converter carries all of the generated power. An example  
26 of this type of system is described in U.S. Pat. No. 5,083,039, entitled "Variable Speed  
27 Wind Turbine", issued Jan. 21, 1992. In the second class, the converter is placed between  
28 a portion of the generator and the grid, usually the rotor circuit. This approach is used  
29 because the converter only needs to be sized for a portion of the total power. This is  
30 referred to as partial conversion and an example of this approach is described in US

1 Patent No. 6,137,187, US Patent No. 6,420,795 and US Patent No. 6,600,240 all entitled  
2 “Variable Speed Wind Turbine Generator”.

3 The variable speed wind turbine disclosed in US Patent 5,083,039 comprises a  
4 turbine rotor that drives a pair of AC squirrel cage induction generators with two  
5 respective power converters. The converters contain an active rectifier that controls the  
6 generator torque by means of a high-performance field-orientation method. The converter  
7 also contains an inverter section, which is synchronized to the AC line and controls the  
8 DC bus voltage by maintaining a power balance between the generator and the AC grid.  
9 The converter is inherently bi-directional and can pass power in both directions. The  
10 inverter section of the converter is capable of shifting the current waveform relative to the  
11 grid voltage and variable reactive power, or power factor can be controlled in this way.  
12 With an induction generator, this system requires an active rectifier as the magnetizing  
13 component of the generator must be supplied by the DC bus through proper control of the  
14 active rectifier.

15 US Patents 6,137,187, 6,420,795, and 6,600,240 describe a partial conversion  
16 variable speed system for use in wind turbines. The system comprises a wound rotor  
17 induction generator, a torque controller and a proportional, integral derivative (PID) pitch  
18 controller. The torque controller controls generator torque using field-oriented control (on  
19 the rotor) and the PID controller performs pitch regulation based on generator rotor  
20 speed. Like the 5,083,039 patent, power flow is bi-directional within the rotor of the  
21 generator and an active rectifier and grid inverter is used for the conversion process. The  
22 converter used in this system is rated at only a portion of the total turbine rating, with the  
23 rating depending on the maximum generator slip used in the turbine design. The  
24 converter controls the current and frequency in the rotor circuit only with a direct grid  
25 connection to the utility. Because the generator operates at sub-synchronous and super-  
26 synchronous speeds, the converter must also be bi-directional just as in the 5,083,039  
27 case. In addition to the converter controlling torque in this system, the converter is  
28 capable of controlling system reactive power or power factor. This is accomplished by  
29 under/over exciting the generator rotor circuit along its magnetization axis. The converter  
30 is placed off line from the stator connection to the grid and only handles rotor power  
31 input and output. The control of the pitch system is also covered in this patent. The pitch

1 system simply responds to a speed error through a proportional, integral, derivative  
2 controller (PID) to call for the correct pitch angle to maintain speed. A further advantage  
3 of variable speed wind turbines is that through the use of their solid-state power  
4 conversion technology, utility interconnection power quality requirements have been  
5 improved beyond that achievable with constant speed wind turbines. Variable speed  
6 turbines have inherently better power regulation qualities resulting in less line voltage  
7 flicker. This allows these machines to meet demanding power quality standards such as  
8 IEEE 519.

9 By properly controlling the torque and pitch on the variable speed turbine, an  
10 increase in energy capture and load reduction is possible. This, together with the  
11 improved power quality, makes the variable speed turbine economically attractive for  
12 electrical power generation.

### 13 SUMMARY OF THE INVENTION

14 Briefly, the present invention relates to a variable speed wind turbine having at  
15 least one or more blades, one or more generators, one or more power conversion systems  
16 for actively converting the generator variable frequency and variable voltage into fixed  
17 frequency, and fixed voltage for consumption by the interconnected utility grid. The  
18 turbine contains a servomechanism necessary to control the turbine blade pitch angle,  
19 called herein the Pitch Control Unit or PCU, and a means of controlling generator torque  
20 through commands send to a Generator Control Unit or GCU. A Turbine Control Unit or  
21 TCU is responsible for coordinating the control of generator torque and blade pitch in a  
22 way which maximizes the energy capture of the turbine while minimizing the mechanical  
23 loads.

24 An advantage of the invention is that the power conversion system is a  
25 unidirectional passive rectifier/ active inverter. The passive rectifier permits a higher  
26 efficiency than previous active rectifiers. This conversion system together with a high  
27 efficiency synchronous generator provide for very high total drive train efficiency. In the  
28 multiple generator implementation described herein, efficiency is further enhanced at low  
29 power levels by staging generators and allowing these generator to operate at or near their  
30 optimal efficiency.

1           A further advantage of the invention is that the power conversion system or GCU  
2 is capable of responding to torque commands from the TCU and providing the requested  
3 torque without the use of any field orientation or other sophisticated control algorithm.  
4 The simplicity of this approach allows the converter to control the generator torque  
5 without the use of a generator position encoder or generator speed tachometer, which  
6 enhances reliability and eliminates tachometer related failure modes. In fact the use of  
7 synchronous generators allows the generator to be used as a system tachometer. In this  
8 capacity with multiple generators, a secondary, redundant tachometer is inherently  
9 provided as required by codes and certification bodies using only the generators already a  
10 part of the system.

11           The invention also allows for main-shaft damping without the use of a generator  
12 tachometer. Because of the synchronous generators used with a passive rectifier, the  
13 main-shaft resonant frequency due to the blade inertia, compliant main-shaft and bull  
14 gear inertia can be sensed in the DC link voltage. The DC bus voltage is monitored and  
15 passed through a band pass filter which is tuned at the mains-shaft resonant frequency, this  
16 filtered signal can then be scaled and applied to the inverter system torque command and  
17 active damping is achieved.

18           In accordance with an aspect of the invention, the inverter runs at a fixed power  
19 factor angle near, or at unity, and is not controllable. This is advantageous as operating  
20 the wind turbines at a unity power factor reduces the balance of system costs such as the  
21 cable running to the turbines within a wind plant. For conditions where wind plant power  
22 factor needs to be varied dynamically, the function is performed with a separate power  
23 factor apparatus at the substation connection of a group of turbines. This provides the  
24 lowest-cost wind-plant design, as there is no over sizing of in-plant balance of system  
25 items such as pad-mount transformers and conductors. This normal increased-sized  
26 requirement is moved to the substation. With this turbine design there is no incremental  
27 cost associated with a requirement for over-sized conductors and transformers.

28           In accordance with an aspect of the invention the large, protection coordinated,  
29 synchronous reactance in the generators prevent high fault currents from occurring and  
30 significantly simplify the protective functions associated with running DC pendant cables  
31 down the tower. In the past, DC pendant cables were dismissed because of the prohibitive

1 cost of protective switchgear and functions created by high power DC power transfer.  
2 The high reactance (300% fault current for a 33% synchronous reactance) fundamentally  
3 limits the available fault current and DC pendant cables become practical. Careful co-  
4 ordination of the generators' reactance and DC pendant cable sizing permit the transfer of  
5 high power over DC pendant cables and reduce the total amount of copper being used in  
6 the pendant cables. Thus power may be generated and rectified in the nacelle and the rest  
7 of the power conversion system may be placed at the base of the tower.

8 In accordance with a further aspect of the invention, the TCU controls the turbine  
9 blade pitch angle via the Pitch Control Unit (PCU) as well as the generator torque via the  
10 Generator Control Unit (GCU). The TCU provides a complicated, coordinated control  
11 function to both of these elements, and does so in a way, which maximizes the energy  
12 capture of the turbine while minimizing the mechanical loads. A description of how this  
13 is accomplished is provided in the detailed description. The TCU uses many necessary  
14 inputs to complete this coordination function between torque and pitch. Typical TCU  
15 inputs include turbine speed, blade pitch angle, tower acceleration (vibration), nacelle  
16 acceleration (nacelle vibration), wind speed, wind direction, wind turbulence, nacelle  
17 position, AC line parameters, DC bus voltage, generator voltage, power output, reactive  
18 power output, and others. Loads which are controlled and mitigated by the TCU include  
19 tower vibration, nacelle vibration, generator torque, and blade loads.

20  
21 A summary of advantages of the present invention include:

- 22 1) Very high conversion efficiency generator + converter,
- 23 2) Simple unidirectional power converter system, ,
- 24 3) Simple torque control which does not depend on field orientation or tachometry,
- 25 4) Fixed power factor at the wind turbine, controllable at the wind plant aggregated  
26 level,
- 27 5) Coordinated control of pitch and torque to control all loads in aggregate,
- 28 6) Use of the generator(s) as a system tachometer(s),
- 29 7) Use of the converter DC bus to dampen main shaft and other mechanical resonant  
30 modes,
- 31 8) Fault coordinated DC pendant cables,

1 9) Generator and system redundancy,

2 10) Staged operation of generators for highest possible efficiency even at low output  
3 power.

#### 4 **BRIEF DESCRIPTION OF THE DRAWINGS**

5 The invention and its mode of operation will be more fully understood from the  
6 following detailed description when taken with the appended drawings in which:

7 **FIGURE 1** is a block diagram of the variable speed wind turbine in accordance with the  
8 present invention highlighting the key turbine elements;

9 **FIGURE 2** is a figure of the power curve identifying different control zones;

10 **FIGURE 3** is a detailed diagram of the power converter system;

11 **FIGURE 4** is a block diagram of the main-shaft damping filter;

12 **FIGURE 5** is a representation of the TCU with its inputs and outputs;

13 **FIGURE 6** is a figure showing the distributed drive train with four high-speed shafts;  
14 and,

15 **FIGURE 7** is a figure showing the Dynamic VAR control system.

#### 17 **DETAILED DESCRIPTION OF THE INVENTION**

18 The variable-speed wind-turbine generator system is broadly shown in **FIGURE**  
19 **1**. There are six basic components of the system: Firstly a turbine drive train including a  
20 rotor hub mounted pitch servo system or PCU 102, blade rotor 103, distributed  
21 generation gearbox 104 and four permanent magnet generators 106, 108, 110, 112;  
22 secondly generator rectifier units 114, 116, 118, 120; thirdly a control system comprising  
23 a generator control unit (GCU) 122 and a turbine control unit (TCU) 132; fourthly four  
24 independent inverters, 136, 138, 140, and 142; fifthly individual line filters for each  
25 converter, 124, 126, 128, 130; and sixthly a pad-mount transformer, 134. Additionally  
26 shown in **FIGURE 1** is a sensor for measuring turbine speed on the low speed shaft, 144.  
27 It should be noted that for the purposes of illustration a system using four independent  
28 power conversion systems including generator, filter, inverter, rectifier, etc. is illustrated  
29 herein. A turbine using a greater or smaller number of independent power conversion  
30 systems, including a system using a single power conversion system, is conceived and  
31 included within the scope of the disclosed invention.

1           The turbine comprises one or more rotor blades 103 connected, via a rotor hub  
2   mounted pitch-angle servo (PCU) 102, which is powered through slip rings. While the  
3   pitch system is described as utilizing a servo drive located in the wind turbine's hub, it is  
4   within the scope of the invention that the pitch system could alternatively utilize a  
5   hydraulic, pneumatic, or other type of pitch actuator and the pitch actuator could be  
6   located in the nacelle of the turbine rather than in the hub. The hub is mechanically  
7   connected to the turbine main-shaft, which transmits the turbine's torque. The turbine  
8   shaft is coupled via a gearbox 104 and some suitable coupling device to, in this example,  
9   four permanent magnet or wound field synchronous generators 106, 108, 110, 112. The  
10   generator electrical output is connected to the rectifiers, 114, 116, 118, and 120 shown  
11   which converts the electrical power to DC voltage and current. The DC power is then  
12   transmitted to the inverters, 136, 138, 140, and 142 as shown. The inverter regulates the  
13   DC current and by doing so, the generator torque is controlled. The inverter regulates this  
14   DC current by synchronizing to the grid and by supplying unity power factor current into  
15   the grid system. The control of the inverters is provided by a generator control unit  
16   (GCU) 122. The GCU, 122 takes inputs such as grid voltage, DC bus voltage, grid  
17   current, and commands such as torque level from a Turbine Control unit (TCU) 132.  
18   These commands are converted into pulse-width-modulated (PWM) signals which tell  
19   switching devices (such as Insulated-Gate-Bipolar- Transistors, IGBTs, Metal-Oxide-  
20   Semiconductor-Field-Effect-Transistors, MOSFETs, , Gate-Turn-Off devices, GTOs, or  
21   Silicon-Controlled-Rectifiers or SCRs' etc) in the inverter when to turn on and off. These  
22   switches are controlled in such a way as to maintain regulated DC current. Line filters,  
23   124, 126, 128,130 are then used to reduce any harmonics that may have been generated  
24   by the inverter before passing power to a pad-mount transformer 134.

25           The TCU 132 and GCU 122 in **FIGURE 1** work together to stage the generators  
26   106, 108, 110, 112, when the turbine is operating at less than full power rating. The  
27   controller brings each generator of the plurality of synchronous generators in the turbine  
28   online sequentially in the event of low energy conditions of the source of energy (wind,  
29   water, etc.) to improve system efficiency at low power. The controller may optionally  
30   alternate the sequence in which the controller shifts the order in which said generators are  
31   brought online such that each generator receives substantially similar utilization.



1           This can be seen in **FIGURE 2** as the area of a power curve labeled Zone 2 where  
2   the amount of power produced is directly related to wind speed. The TCU is initiated  
3   with a master and slave selection. For example, upon initiation, generator 106 may be  
4   selected as the master generator and generators 108, 110, and 112 would respectively be  
5   slave 1, slave 2, and slave 3. If this is the initiation sequence then at very low power  
6   levels at the beginning of Zone 2 only the master generator would produce power. As the  
7   turbine comes up the power curve, slave 1 would be brought on line, then slave 2, and  
8   finally slave 3 would be brought on line as full power is achieved through Zone 3. The  
9   exact set points as to when a generator would come on line and when it would be dropped  
10   off would depend on a specific generator design and other system parameters. One of  
11   ordinary skill in the art would be capable of selecting appropriate set points for a specific  
12   generator and wind turbine design. The TCU also provides hysteresis as to when a  
13   generator comes on line and when it drops off. This prevents a generator from constantly  
14   be energized and de-energized which would reduce the life of certain components in the  
15   power conversion system. In addition to staging generators, the TCU receives operational  
16   time and power levels on the four generators from the GCU and after a period of time and  
17   power levels, the TCU shifts the designated master, slave1, 2, 3, designation. This is done  
18   so as not to accumulate a disproportional number of hours on any one generator. The  
19   algorithm used for switching the designators is essentially an accumulated kWh  
20   calculation. However, other time or power relationships can be applied to determine the  
21   master and slave generators where specific equipment dictates.

22           The locations of the elements in **FIGURE 1** are not critical to the operation of the  
23   invention. For example, in one implementation, the rectifiers are placed up-tower and the  
24   DC voltage and current are run over approximately 200 to 300 feet of conductors to an  
25   inverter located at ground level below the turbine. Likewise, the location of the line filters  
26   and pad-mount transformers are not critical to the invention. The GCU does need to be  
27   mounted in the inverter cabinet to keep pulse-width-modulated signals as short as  
28   possible. Also, the TCU is typically mounted up-tower where the various sensors are  
29   located. This is done to prevent running the sensor leads down the tower over long  
30   distances.

1       The preferred approach in the invention is to place the passive rectifier upower  
2   and convert the synchronous generator AC voltage to DC. This results in a higher  
3   operating voltage on the pendant cables and lower total quantity of cables as each  
4   generator/rectifier now has two conductors associated with it rather than three conductors  
5   each. The DC pendant cables are only possible because of the coordinated high  
6   impedance of the synchronous generator, which limits the DC fault current in the event of  
7   a ground or pendant cable fault. The GCU which senses the DC bus voltage and current  
8   sense this fault condition and bring the turbine to zero speed very quickly. While this  
9   takes a finite amount of time, the current does not build up as it would with a low  
10   impedance case and the shutdown is very controlled and orderly.

11       **FIGURE 3** is a detailed depiction of one of the power conversion systems from  
12   **FIGURE 1**. It includes a generator 106, rectifier 114, inverter 136, line filter 124, the  
13   GCU 122 and the TCU 132 for discussion purposes. The synchronous generator 106  
14   rotates due to the aerodynamic torque caused by wind passing over the rotor blades at a  
15   given rotor pitch angle. This torque causes the generator 106 to accelerate. As the  
16   generator speeds up, the TCU commands a reacting torque which then causes the wind  
17   turbine to run at a certain speed for the given wind conditions. The relationship between  
18   torque, or power, and speed in a wind turbine is referred to as a power curve as is shown  
19   in **FIGURE 2**. In this implementation, the power curve is stored in the TCU 132 and  
20   torque commands are passed from the TCU 132 to the GCU 122 over a communication  
21   link 146. Electrical power is passed to the rectifier 114, which contains six passive diode  
22   elements 147. The generator could be of a higher phase count than three phases in which  
23   case the number of diode elements 147 would have to increase or decrease in a  
24   corresponding manner. As an example, a two-phase generator would require 4 diode  
25   elements, and a six-phase generator would require 12 diode elements. The inverter 136  
26   consists of six switching elements 160 as shown. In **FIGURE 3** these are shown as IGBT  
27   devices with integral flyback diodes. The switching elements could easily be SCRs,  
28   GTOs, or MOSFETs, or other self-commutated semiconductor devices. The inverter also  
29   may contain a DC inductor 158 and/or DC capacitor 156, which form a filter to smooth  
30   out the ripple current from the generator/rectifier and provide a low impedance DC bus  
31   for power semiconductor switching. A Line filter 124 may be used to remove or reduce

1 harmonic content in the AC grid connections. A number of different filter configurations  
 2 are possible including a simple series AC reactor and a more complicated inductor-  
 3 capacitor-inductor pi filter, as well as many others. AC grid voltage measurement 164  
 4 and current measurement 162 are used by the GCU for purposes of synchronizing the  
 5 inverter to the AC grid. Finally the DC bus voltage is measured 148 and is used by the  
 6 GCU to determine certain fault status and to provide the active main-shaft damping as  
 7 will be discussed later. The DC current out of the rectifier is measured 166 for purposes  
 8 of regulating the DC current and for controlling the generator torque. A measurement of  
 9 DC current may not be necessary in one implementation wherein the current is estimated  
 10 in real time by dividing the DC power by the DC voltage to obtain the DC current. The  
 11 advantage of this approach is that it eliminates a DC current sensor, thus providing a  
 12 more reliable system.

13 **FIGURE 4** is a block diagram showing the algorithm executed in software of a  
 14 main-shaft damping filter. The main-shaft-damping filter is performed as shown in the  
 15 GCU 122. The GCU has software, which contains a bandpass filter 166, which is tuned at  
 16 the main-shaft resonant frequency. This frequency is typically in the range of 2 – 7 Hz.  
 17 depending upon machine size, inertias, and main-shaft stiffness. The input to the  
 18 bandpass filter is the DC bus voltage measurement 148 and the output of the filter is  
 19 transmitted to a gain block 168 with optionally adjustable gain. The intention of  
 20 adjustable gain is to allow tuning to be performed on individual wind turbines. The  
 21 output of the gain block is passed to a summing junction 170 where it is added to the  
 22 torque command, which arrives from the TCU over the communication link 146. The  
 23 torque command is converted to a current command by a gain block 172. Also summed at  
 24 this point is DC current feedback 166. The result of the summing junction 170 is a torque  
 25 error 174 which is further processed in the GCU to bring the DC current up or down  
 26 depending upon the magnitude and sign of the error signal. In wind turbines where  
 27 multiple resonant modes are possible, multiple bandpass filters, each tuned at  
 28 corresponding resonant frequencies and then summed at the summing junction are  
 29 possible to suppress multiple modes. This is shown in dotted lines in **FIGURE 4**. One  
 30 such situation is one wherein the generator's high-speed shaft resonant frequency, at  
 31 around 15 Hz, is significant and requires damping.

**FIGURE 5** is a representation of the role of the TCU 132. The TCU 132 takes sensor information such as turbine speed, blade pitch angle, tower acceleration (vibration), nacelle acceleration (nacelle vibration), wind speed, wind direction, wind turbulence, nacelle position, AC line parameters, DC bus voltage, generator voltage, power output, and other fault related sensors. The TCU 132 has control of the two principle actuators on the turbine; the generators via the GCU 122, and the pitch system (PCU) 178. The TCU 132 performs a complicated, coordinated control function for both of these elements, and does so in a way, which maximizes the energy capture of the turbine while minimizing the machine's mechanical loads. A detailed description of this operation based on turbine operating regime is presented below. Finally, the TCU 132 also controls the yaw system 180, however, since this system responds very slowly to changing wind direction, the system operation is straight-forward and works to keep the turbine always pointed into the wind. The TCU 132 is also in communication with the turbine's SCADA system 179 in order to provide and receive sensor and status information.

The control of the turbine is accomplished by varying the turbine blade pitch and generator torque to achieve two primary objectives:

First, in Zone 2 of the turbines power curve, (see **FIGURE 2**) the blade pitch angle and the turbine tip-speed-ratio are held constant or nearly constant to provide maximum power output from the turbine. Constant tip-speed ratio simply means that the rotational speed goes up proportionally to the wind speed. This is accomplished by varying the torque to control the rotor speed so that it tracks the variation in the wind speed. On average, the torque follows a quadratically increasing function of either rotor speed or wind speed. The pitch angle is set to a fixed value in this zone, which corresponds to the maximum aerodynamic energy capture of the blade.

Second, in zone 3 of the power curve, (see **FIGURE 2**) the desired generator torque and rotor speed are constant values giving a constant average output power. This control is accomplished by holding generator torque fixed and varying the blade pitch angle to regulate the rotor speed deviations from the desired value under varying wind speed conditions.

1       The algorithms used to accomplish these control objectives can be based on either  
2 classical single loop control methods (see e.g. E. A. Bossanyi, "Developments in Closed  
3 Loop Controller Design for Wind Turbines", *Proc. 2000 ASME Wind Energy Symp.*,  
4 Reno, Nevada, Jan.10-13, 2000 (AIAA-2000-0027), pp. 64-74, incorporated herein by  
5 reference) or more advanced state space control methods (see e.g. A. Wright and M.  
6 Balas, "Design of State-Space-Based Control Algorithms for Wind Turbine Speed  
7 Regulation," *Proc. 2002 ASME Wind Energy Symp.*, Reno, NV, Jan. 14-17, 2002,  
8 (AIAA-2002-0052), pp. 299-309, incorporated herein by reference). In either case, the  
9 two control input variables, blade pitch and generator torque depend on the past history  
10 of the measured rotor speed as well as measured or computed values of the blade pitch  
11 angle and generator torque controls.

12       The gearbox shown in **FIGURE 6** is disclosed in the above-identified copending  
13 US Patent Application number 10/449,342. The main shaft 500 transmits torque to a pair  
14 of bull gears, 502, 504. A number of intermediate gears 514, 516, 518, 520 are located  
15 around a perimeter of the bull gears. A plurality of high-speed output shafts 542, 544,  
16 546, 548 engage adjacent intermediate gears. Since each intermediate gear (e.g. 514)  
17 engages with two output shafts 542, 544, significant size reduction of the intermediate  
18 gears 514, 516, 518, 520 and the output shafts 542, 544, 546, 548 results. Adjacent pairs  
19 of intermediate gears 514, 516, 518, 520 drive the output shafts 542, 544, 546, 548,  
20 resulting in torque sharing of a high-speed stage comprised of two intermediate gears and  
21 one output shaft. Tooth pressure between intermediate gears 514, 516, 518, 520 and  
22 output shafts 542, 544, 546, 548 is unidirectional, that is, not reversing, allowing higher  
23 loads than other systems such as planetary gear systems. A generator is connected to each  
24 output shaft. The generators can be stand alone systems with external couplings or fully  
25 integrated with the high speed output shafts 542, 544, 546, 548 shown in **FIGURE 6**.  
26 The torque on the low speed stage is also split through a double helix bull gear (502) with  
27 uneven helix angle matching the low speed pinions (510).

28       What has been described is a variable speed wind turbine employing a turbine  
29 rotor connected to a distributed generation drive train gearbox and two or more  
30 synchronous generators with wound field or permanent magnet rotors. A passive rectifier

1 is included for each generator along with one or more inverters used to convert the DC  
2 power back to constant frequency AC for utility grid interconnection.

3 A Turbine Control Unit (TCU) and Generator Control Unit (GCU) command the  
4 proper generator torque required based on the rotor speed and power output of the turbine  
5 inverter system as well as providing any active damping requirements. Torque control is  
6 accomplished through inverter current commands generated by the TCU and GCU. In  
7 high winds the turbine remains at a constant average output power through a constant  
8 torque command from TCU and GCU and the TCU provides a varying pitch command to  
9 the hub mounted pitch servo system.

10 These control commands can be independent or can be a part of State Space  
11 Control presentation. In this circumstance, the torque and speed are a subset of the  
12 turbine state space that include other parameters such as pitch rate, pitch acceleration and  
13 various turbine loads.

14 As shown in **FIGURE 7**, a wind turbine farm, under-water turbine farm, other  
15 fluid-flow farm, or other source of energy turbine farm can be installed using turbines  
16 according to the present invention with advantageous power factor control. The wind  
17 farm consists of a multiplicity of individual wind turbines 710, each of which has a  
18 synchronous generator, a passive rectifier, and an inverter. VAR control is fixed at the  
19 inverter output. A preferred method is to fix this set point such that the output VAR load  
20 is at a minimum. This requires that the power factor be set to unity. Alternately, the VAR  
21 load can be set to provide a slight leading power factor to help compensate for any  
22 external transformer VAR's. In either case, this power factor is fixed and not adjusted  
23 dynamically. AC power is transmitted from the individual wind turbines 710 through an  
24 electrical collection system 720. The collection system can include underground and/or  
25 overhead electrical conductors, transformers, junction boxes, filters, and a variety of  
26 other electrical devices. Power transmitted through the collection system 720 is at  
27 substantially unity power factor. The power from the wind farm is collected at a  
28 substation 730. Any VAR control necessary on a wind farm basis is provided at the  
29 substation level or alternately can be located in sub-modules distributed throughout the  
30 wind farm itself. The advantage of having a unity power factor is that less current is  
31 required for a given power output thereby lowering the losses that are incurred when the

1 power factor is not set to unity. This reduces the size requirements for conductors,  
2 transformers, and other equipment in a wind farm's electrical collection system 720. The  
3 substation 730 includes a dynamic VAR controller 740 to provide power to the utility at  
4 the power factor required by the utility.

5 From the above description, it will be apparent that the invention disclosed herein  
6 provides a novel and advantageous variable speed wind or water turbine. The forgoing  
7 discussion discloses and describes merely exemplary methods and embodiments of the  
8 present invention. As will be understood by those familiar with the art, the invention may  
9 be embodied in other specific forms without departing from the spirit or essential  
10 characteristics thereof. For example, special staging algorithms for generators may be  
11 devised as dictated by specific generator equipment rather than the algorithm approaches  
12 identified herein. Furthermore several inter-related features have been described and it is  
13 intended that each feature be included within the scope of the patent in relation to the  
14 other features, independently, or as a feature of a different system. For instance, active  
15 damping of main-shaft vibrations may be employed on a turbine without multiple  
16 generators or with a different power electronics or control configurations. Therefore, it is  
17 intended that the invention not necessarily be limited to the particular embodiments  
18 described and illustrated herein.

19 The invention has been described with reference to a circular gear having gear  
20 teeth around a perimeter of said circular gear, the circular gear being coupled to a main  
21 input shaft that is driven by a source of energy. It will be understood by those skilled in  
22 the art that the main input shaft may be fitted directly onto the circular gear, or the main  
23 input shaft may be indirectly linked to the circular gear. For example, a reciprocating  
24 main input shaft that imparts rotational motion to said circular gear or the main input  
25 shaft may be combined with other gears or linkages to impart rotational motion to said  
26 circular gear.

27 It will also be understood by those skilled in the art that whereas the invention is  
28 described with reference to wind or water current sources of power, and wind or water  
29 farms, other sources of power may be utilized to impart torque to the main input shaft:  
30 fossil fuels, such as diesel motor-generator sets and gas turbines; nuclear fuels, such as  
31 steam turbines for nuclear power plants; solar energy; bio-energy technologies, such as

1 making use of renewable plant material animal wastes; and industrial waste; thermal  
2 energy;. automotive energy, such as electric cars; tunnel boring equipment; mining  
3 equipment; micro-turbines, such as those using natural gas, gas from landfills or digester  
4 gas; marine drives; and heavy equipment with a low speed drive.

5 While the invention has been particularly shown and described with reference to  
6 preferred embodiments thereof, it will be understood by those skilled in the art that the  
7 foregoing and other changes in form and detail may be made therein without departing  
8 from the scope of the invention.

9 What is claimed is: